Attorney's Docket No.: 33328.04905.US01/4905

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nguyen et al.

Art Unit

1636

Serial No.

10/677,977

Examiner

Wessendorf, Teresa D.

Filed

October 2, 2003

Conf. No.

9061

Title

Cust. No. 13565

METHODS OF GENERATING AND SCREENING FOR PROTEASES

WITH ALTERED SPECIFICITY

#### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN **ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, the Commissioner is authorized to charge the fee for the Information Disclosure Statement to Deposit Account No. 50-0911.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited non U.S. patent documents are provided herewith in connection with the above-captioned application.

The documents cited on the Forms PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. § 1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents and applications are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the documents, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number; EM 608914677 US.

Date of Deposit: December 23, 2011.

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 223/13-1450.

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Applicant : Nguyen et al.
Serial No. : 10/677,977
Filed : October 2, 2003

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Attorney's Docket No.: 33328.04905.US01/4905 Supplemental Information Disclosure Statement

Applicant respectfully requests that the Examiner review the foregoing documents and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No.: 33328.04905.US01/4905

Address all correspondence to: 13565

Stephanie Seidman
McKenna Long & Aldridge LLP
4435 Eastgate Mall, Ste. 400
San Diego, California 92121
Telephone: (619) 595-8010

Telephone: (619) 595-8010 Facsimile: (619) 595-8135

email: sseidman@mckennalong.com

Substitut from PTO-1449

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 33328.04905.US01/4905 Application No. 10/677,977

**List of Patents and Publications for Applicant's Information Disclosure Statement** 

**Applicant** Nguyen et al.

Filing Date October 2, 2003 **Group Art Unit** 1636

(37 CFR §1.98(b))

U.S. Paten	t Documents	
Publication		

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	2002/0031801	03/14/02	Kapeller-Libermann	435	69.1	03/23/01
	AB	2011/0177581	06/21/11	Ruggles et al.	435	212	03/15/11
	AC	7,939,304	05/10/11	Ruggles	435	183	04/12/05

	Foreign Patent Documents or Published Foreign Patent Applications						
Examiner Initial	Desig. ID			Country or Patent Office	Translation Yes No		
	AD	2,373,759	11/16/2000	CA	· ·		
	AE	1 633 865	09/28/2011	EP			
	AF	WO 01/57194	08/09/2001	WIPO			
	AG	WO 01/18216	03/15/2001	WIPO			

. (	Other D	ocuments (include Author, Title, Date, and Place of Publication)
Examiner Initial	Desig. ID	Document
	АН	Olsen et al., "Function-based isolation of novel enzymes from a large library," Nat. Biotechnol. 18:1071-1074 (2000).

**Examiner Signature** 

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Table of the information
Disclosure Biatement

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 33328.04905.US01/4905

Application No. 10/677,977

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Applicant Nguyen *et al*.

October 2, 2003

Filing Date

Group Art Unit 1636

(37 CFR §1.98(b))

U.S. Patent Documents

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Examiner	Desig.	Document	Publication				Filing Date
Initial	ID	Number	Date	Patentee	Class	Subclass	If Appropriate
						<u>-</u>	

None

	Foreign	Patent Docu	ments or Pub	olished Foreign F	Patent Applicati	ons
Examiner	ner Desig. Document	Publication	Country or	Translation		
Initial	ID			Patent Office	Yes	No
			N	one		

	Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner	Desig. ID	Document				
Initial	I I D	Copy of a Response to an Examination Report, submitted April 20, 2011, in connection with				
	1.	corresponding Canadian Patent Application No. 2,501,295, 58 pages.				
	2.	Copy of a Response to an Official Action, submitted June 1, 2011, in connection with corresponding Israeli Patent Application No. 167744, 21 pages.				
	3.	Examination Report, issued June 3, 2011, in connection with corresponding Canadian Patent Application No. 2,501,295, 6 pages.				
	4.	Copy of Instructions for Response to an Official Action, submitted July 25, 2011, in connection with corresponding Korean Patent Application No. 10-2005-7005800, 22 pages.				
	5.	Examination Report, issued August 25, 2011, in connection with corresponding Indian Patent Application No. 7951/DELNP/2005, 2 pages.				
	6.	Copy of Instructions for Response to an Official Action, sent August 26, 2011, in connection with corresponding Chinese Patent Application No. 200380102653.0, 21 pages.				
	7. ~	Copy of a Response to an Examination Report, submitted September 6, 2011, in connection with corresponding Canadian Patent Application No. 2,501,295, 60 pages.				
	8.	Official Action, issued September 7, 2011, in connection with corresponding Korean Patent Application No. 10-2011-7013288, 24 pages.				
	9.	Official Action, issued October 10, 2011, in connection with corresponding Chinese Patent Application No. 200380102653.0, 11 pages.				
	10.	Examination Report, issued October 17, 2011, in connection with corresponding European Patent Application No. 03774608.8, 7 pages.				
	11.	Examination Report, issued November 7, 2011, in connection with corresponding Canadian Patent Application No. 2,501,295, 5 pages.				

**Date Considered** 

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nguyen et al. Art Unit : 1636

Serial No.: 10/677,977 Examiner: Wessendorf, Teresa D.

Filed : October 2, 2003 Conf. No. : 9061 Cust. No. : 13565

Title : METHODS OF GENERATING AND SCREENING FOR PROTEASES

WITH ALTERED SPECIFICITY

#### **Mail Stop Amendment**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), Form PTO-1449 (1 page), cited non-U.S. patent documents (1 bound volume), a Table of the Information Disclosure Statement (1 page), documents listed in Table of the Information Disclosure Statement (1 bound volume), and a return receipt postcard for filing in connection with the above-identified application. Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0911, as stated below:

 $\boxtimes$ 

The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to be posit Account No. 50-0911. A duplicate of this sheet is enclosed.

Respectfully sybmitted,

Stephanie L. Seidman

Reg. No. 33,779

Attorney Docket No. 33328.04905.US01/4905

Address all correspondence to: 13565

Stephanie Seidman McKenna Long & Aldridge LLP 4435 Eastgate Mall, Ste. 400 San Diego, California 92121 Telephone: (619) 595-8010 Facsimile: (619) 595-8135

email: sseidman@mckennalong.com

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"Express Mail" Mailing Label Number: EM 608914677 US

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Teresa Joel

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PRADE Applicant: Nguyen et al.

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4435 Eastgate Mall, Ste. 400 San Diego, California 92121

Telephone: (619) 595-8010 Facsimile: (619) 595-8135

email: sseidman@mckennalong.com

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Teresa Joel